

**California Department of Social Services
Title IV-E Child Welfare Waiver Demonstration Capped Allocation Project
Quarterly Progress Report
January 30, 2007**

This report covers the period from October 1, 2006 through December 30, 2006, and provides a project status and updated information on implementation activities for the California Title IV-E Child Welfare Waiver Demonstration Capped Allocation Project (CAP), as required and referenced in the federal Waiver Terms and Conditions, Section 5.3.

During this period the California Department of Social Services (CDSS) cross-divisional implementation team completed the following activities: finalize the methodology for the State General Fund (SGF); executing the evaluator contract; State/County evaluation planning meetings; evaluator consultation and site visits with the counties; development of the State/County Memorandum of Understanding; development of the federal and State allocations; and provision of technical assistance to counties related to county plan submissions.

Current activities are focusing on: developing and finalizing the fiscal systems and claiming procedures, finalize the State/County Memorandum of Understanding and State Waiver; approval for the federal allocation; and County Plan submission and review approval process.

Updated Waiver Demonstration Key Tasks and Timeline

A. General Project Implementation

Tasks/Activities	Deliverables	Timeframe
Establish a support structure and implementation team for the waiver demonstration	<ul style="list-style-type: none"> • CDSS established IV-E Waiver Unit • CDSS cross-divisional implementation team • Develop specialized workgroups with areas of responsibilities and tasks 	Completed July 2004 Completed January 2005 Completed April 2006
Provide information to the general public, counties, public/private community partners, and stakeholder groups	<ul style="list-style-type: none"> • CDSS documents (ACL, ACIN, CFL) • CDSS - CFSD Webpage • Email address established • Conference calls and email communications • Press releases and public speaking presentations • County Forums 	April 2006 - ongoing
Establish Operating Authority for the Waiver Demonstration	<ul style="list-style-type: none"> • Inclusion of language in budget trailer bill 	Completed June 2006
Develop Cost Development Plan	<ul style="list-style-type: none"> • Establish claiming codes for State and counties • Submit Plan to DHHS 	Completed May 2, 2006 Completed June 30, 2006

Initial Design and Implementation Report	<ul style="list-style-type: none"> • Receive counties plan proposal summaries • Submit IDI report to DHHS 	Completed July 21, 2006 Completed August 11, 2006
State/County Memorandum of Understanding	<ul style="list-style-type: none"> • Develop provisions for State General Fund, opt-out, State waivers, and fiscal claiming • Complete Draft MOU • Issue MOU to counties • Signed and executed MOU 	Completed January 2007 January 2007 April 2007 June 2007
Prepare Director's State Waiver	<ul style="list-style-type: none"> • Identify statutes and regulations to be waived from County Plans and county waiver requests • Complete Director's State Waiver Order • Notification to State Legislature • Publish legal notice 	Draft completed; requires county plans – final by June 2007 June 2007 June 2007 June 2007
Implement Waiver Demonstration	<ul style="list-style-type: none"> • Verify all implementation activities are complete • Verify counties are fiscally and programmatically set-up to implement projects • Implement by start date of July 1, 2007 	June 2007 June 2007 July 1, 2007

B. Allocation, Claiming, and Reporting Procedures

Tasks/Activities	Deliverables	Timeframe
Develop Federal and State Allocations for Participating Counties	<ul style="list-style-type: none"> • Agreed upon federal allocation • DHHS approval for federal allocation • Agreed upon proposed State allocation subject to State budget process • Release allocation letters to counties 	In progress - February 2007 In progress - February 2007 Completed December 2006 June 2007
State/County Claiming and Reporting Policy and Procedures	<ul style="list-style-type: none"> • Develop county claiming and reporting procedures • Complete State reconciliation to allocations • Quarterly federal reporting 	In progress - April 2007
Amendments to Cost Allocation Plan	<ul style="list-style-type: none"> • Prepare amendment to Cost Allocation Plan based on the IV-E waiver demonstration • Submit amendments to DHHS for approval 	May 2007 June 2007

C. County Selection and County Implementation

Tasks/Activities	Deliverables	Timeframe
Solicit County Interest in Waiver Demonstration	<ul style="list-style-type: none"> Issue initial ACIN to solicit interested counties Receive Letters of Interest Hold interested counties forum and conference calls 	Completed April 2006
Solicit Letter of Intent from Counties	<ul style="list-style-type: none"> Issue ACIN providing information and intent submission requirements Receive Letters of Intent by due date 	Completed June 30, 2006 Completed July 21, 2006
Five-year County Implementation Plans	<ul style="list-style-type: none"> Provide instructions and technical assistance to intent counties for developing Five-year County Plan Due date for final plan submissions to CDSS Review and approve plans 	August 2006 - January 2007 February 2007 March 2007
County Training and Technical Assistance	<ul style="list-style-type: none"> Conference Calls On-site fiscal training Individual county technical assistance consultation Field site visits 	August 2006 - ongoing June 2007 - ongoing April 2007- ongoing April 2007 - ongoing
Implementation Start Date	<ul style="list-style-type: none"> County-level project implementation begins State-level project monitoring begins 	July 1, 2007 - ongoing July 1, 2007 - ongoing

D. Evaluation

Tasks/Activities	Deliverables	Timeframe
Initial Evaluation Plan	<ul style="list-style-type: none"> Plan submitted to DHHS 	Completed February 3, 2006
Evaluation Contractor Specifications	<ul style="list-style-type: none"> Submit specification for contractor agreement to DHHS for approval 	Completed May 30, 2006
Evaluator Contract	<ul style="list-style-type: none"> Executed Evaluator Contract 	Completed October 23, 2006
Final Evaluation Plan	<ul style="list-style-type: none"> Evaluator consultation with participating counties Finalize final evaluation plan incorporating the Five-year County Plan Submit final evaluation plan to DHHS for approval 	December 2006 - ongoing March 30, 2007 April 30, 2007

Initiate County Evaluation Activities	<ul style="list-style-type: none"> • Site Visits to Counties • County TA and Training • Baseline Data Collection • Complete Institutional Review Board Submissions (CHHSA and Sonoma State University) • Monthly State/County Evaluation meetings 	<p>December 2006 - ongoing</p> <p>April - June 2007</p> <p>January - June 2007</p> <p>CHHSA submission submitted and under review. Submission for SSU by May 2007.</p> <p>December 2006 - ongoing</p>
Observation Data Collection	<ul style="list-style-type: none"> • Data Collection Begins 	July 1, 2007 - ongoing
Interim Evaluation Report	<ul style="list-style-type: none"> • Submit interim evaluation report 60 days after the 10th quarter 	December 1, 2009
Final Evaluation Report	<ul style="list-style-type: none"> • Submit final evaluation report six months after project ends 	December 30, 2012

E. DHHS Submissions

Tasks/Activities	Deliverables	Timeframe
Quarterly Report Submissions	<ul style="list-style-type: none"> • Submit IDI Report within 120 days 	Completed August 2006
IDI Report – 1 st Quarterly Progress Report	<ul style="list-style-type: none"> • Submit quarterly report 	Completed October 30, 2006
2 nd Quarter Progress Report (Period 8/06 – 9/06)	<ul style="list-style-type: none"> • Submit quarterly report 	Completed January 30, 2007
3 rd Quarterly Progress Report (Period 10/06 – 12/06)	<ul style="list-style-type: none"> • Submit quarterly report 	April 30, 2007
4 th Quarterly Progress Report (Period 1/07 – 3/07)	<ul style="list-style-type: none"> • Submit quarterly report 	July 30, 2007
5 th Quarterly Progress Report (Period 4/07 – 6/07)	<ul style="list-style-type: none"> • Submit quarterly report 	
Semiannual Progress Report Submissions	<ul style="list-style-type: none"> • Upon implementation submit reports twice a year 	July 2007 - ongoing

Waiver Demonstration Implementation Issues

Two counties, Alameda and Los Angeles, are moving forward with implementing the CAP. Both counties are in the process of submitting a CAP Five-year County Plan to their County Board of Supervisors for approval. It is anticipated that CDSS will receive the final County Plan submissions no later than the end of February 2007.

The CDSS has requested and received approval from the Administration for Children and Families (ACF) to revise the federal Waiver Terms and Conditions to extend the date for implementation to no later than July 1, 2007. This would allow the start of the waiver period and fiscal systems to be coordinated with the new State Fiscal Year and supports county level implementation efforts.

Reconciliation discussions with ACF are ongoing for approval of the federal allocation. As part of the discussions, ACF requested disallowances be excluded from the federal allocation. The CDSS has requested that ACF not require that the Relative Placement disallowances be passed onto the participating counties and that the Relative Placement disallowed costs be included in the participating counties' base period.